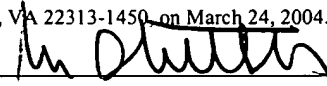


#12

PATENT  
Docket No. ACCE.P0004

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John Stattler

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Ho Wing Sit

Serial No.: 09/451,575

Filing Date: 11/30/1999

For: INTEGRATING A DIGITAL  
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**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

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Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit with this Information Disclosure Statement the attached Form PTO-1449, and copies of the documents listed in the 1449 form for consideration by the Examiner. The Examiner is requested to make these documents of record. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to

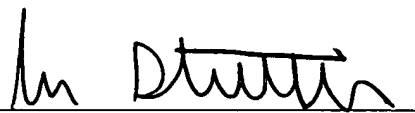
the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

This Information Disclosure Statement is submitted after receipt of a first Office Action on the merits but before the Final Office Action. Accordingly, a fee is required. The requisite fee is attached.

However, in the unlikely event that the Patent Office determines that additional fees, extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 50-1128** referencing ACCE.P0004. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: March 24, 2004

Respectfully submitted,

By:   
**John Stattler**  
Registration No. 36,285

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2134 #  
V #  
12

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/451,575
	Filing Date	11/30/1999
	First Named Inventor	Ho Wing Sit
	Group Art Unit	2134
	Examiner Name	<none yet>
Total Number of Pages in This Submission	Attorney Docket Number	ACCE.P0004

Enclosures (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declarations(s) <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Request to Rescind Previous Non-Publication Request <input checked="" type="checkbox"/> Information Disclosure Statement and PTO-1449 Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosures (Listed below): Postcard Form 1449 (4) References Credit Card Payment Form
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Date	March 24, 2004	

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	09/451,575
				Filing Date	11/30/1999
				First Named Inventor	Ho Wing Sit
				Group Art Unit	2134
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	ACCE.P0004

FOREIGN PATENT DOCUMENTS								
Examiner* Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Date of Publication MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code (if known) <sup>5</sup>				
	1.	WO	97/43717	A1	11-20-1997	Identifying, managing, accessing, and tracking digital objects and associated rights and payments		
	2.	WO	97/12460	A1	08-23-1996	Document Authentication System and Method		
	3.	EP	0 940 945	A2	09-08-1999	A Method and Apparatus for Certification and Safe Storage of Electronic Documents.		
	4.	EP	0 845 733	A2	11-24-1997	Implementing digital signatures for data streams and data archives.		

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This collection of information is required by 37CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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